



8-09-04

2155
IFW

Attorney Docket No.: 59384 (47793)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Takayuki SATO

EXAMINER: Patrice L. Winder

U.S.S.N.: 09/682,122

GROUP: 2155

FILED: July 25, 2001

FOR: MULTI-UNIT BUILDING WITH SECURE ENTRY SYSTEM

CERTIFICATE OF EXPRESS MAILING (Label No.: EV 438977349 US)

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on August 5, 2004 and is addressed to Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313-1450.

By

Nicole M. McKinnon

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Arlington, VA 22313-1450

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office are listed on Form PTO-1449, attached hereto.

Inventor(s): Takayuki SATO
Attorney Docket No.: 59384 (47793)
Filed: July 25, 2001
Page 2 of 4

II. COPIES

- a. X Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- b. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. , filed on . The following references were submitted to, and/or cited by, the Office in the prior application (s) and, therefore, are not required to be provided in this application.

III. CONCISE EXPLANATION OF THE RELEVANCE
(check at least one box)

- a. X Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).
- b. A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
- c. The following additional information is provided for the Examiner's consideration:

FEES

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)
(check one box)

- a. within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)). No fee or certification is required.
- b. within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.
- c. Before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a

Inventor(s): Takayuki SATO
Attorney Docket No.: 59384 (47793)
Filed: July 25, 2001
Page 3 of 4

first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):
(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- a. _____ No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
or
b. X See the certification below. No fee is required.

VI. STATEMENT UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby states that

- a. X each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
b. _____ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.
c. _____ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was

Inventor(s): Takayuki SATO
Attorney Docket No.: 59384 (47793)
Filed: July 25, 2001
Page 4 of 4

known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

____ Please charge Deposit Account No. 04-1105 in the amount of \$180.00 for the above-indicated fee. A triplicate copy of this paper is attached.

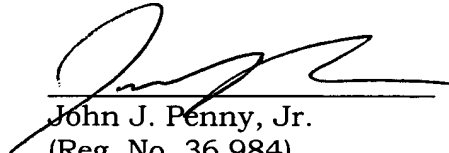
 X No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule, with a petition if necessary, and charge the appropriate fee to Deposit Account No. **04-1105**.

Respectfully submitted,

Date: August 5, 2004
Customer No. 21,874
446288

By:



John J. Penny, Jr.
(Reg. No. 36,984)
Attorney for Applicants
EDWARDS & ANGELL, LLP
P. O. Box 55874
Boston, MA 02205
Tel: (617) 517-5549

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No.
Applicant(s): AUG 0 5 2004			59384 (47793)
Serial No. 09/682,122	Filing Date July 25, 2001	Examiner Patrice L. Winder	Group Art Unit 2155
Invention: MULTI-UNIT BUILDING WITH SECURE ENTRY SYSTEM			
I hereby certify that the following correspondence: <div>Supplemental Information Disclosure Statement (along with related documents)</div> <p>(Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Mail Stop AMENDMENT</p> <p><u>August 5, 2004</u> (Date)</p> <p><u>Nicole M. McKinnon</u> (Typed or Printed Name of Person Mailing Correspondence)</p> <p><u>Nicole McKinnon</u> (Signature of Person Mailing Correspondence)</p> <p><u>EV 438977349 US</u> (<i>"Express Mail" Mailing Label Number</i>)</p>			
Note: Each paper must have its own certificate of mailing.			

**FORM PTO-1449****DOCKET NO:**

59384 (47793)

SERIAL NO.:

09/682,122

**INFORMATION DISCLOSURE
STATEMENT****APPLICANT(S):** Takayuki SATO**FILING DATE:**

July 25, 2001

GROUP NO.:

2155

UNITED STATES PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2002/0145506 A1	10/10/02	Sato	340	5.7	07/25/01

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
	BA	1 385 127 A1	01/28/04	EUROPE	G07B	15/04	N/A
	BB	02/35479	05/02/02	WO	G07C	9/00	N/A
	BC	03/036569 A1	05/01/03	WO	G07B	15/04	N/A
	BD	707125	09/11/97	AUSTRALIA	G08B	013/00	N/A
	BE	2000-315291-A	11/14/00	JAPAN	G08B	25/04	ABSTRACT
	BF	2002-070308-A	03/08/02	JAPAN	G06F	17/60	ABSTRACT
	BG	2004-013484-A	01/15/04	JAPAN	G07C	9/00	ABSTRACT

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	CA	Copy of Written Opinion (mailed May 5, 2004)

EXAMINER:**DATE:**